

REISSUE APPLICATION DECLARATION BY THE INVENTOR



#3

PTO/SB/51 (10-00)
Approved for use through 12/30/00. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

SONY-R7018

Docket Number (Optional)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

As a below named inventor. I hereby declare that: My residence, mailing address and citizenship are stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number 5,870,710 granted February 9, 1999 , and for which a reissue patent is sought on the invention entitled AUDIO TRANSMISSION, RECORDING AND REPRODUCING SYSTEM the specification of which is attached hereto. was filed on _____as reissue application number ____/__/ and was amended on _____ (If applicable) I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.) by reason of a defective specification or drawing. by reason of the patentee claiming more or less than he had the right to claim in the patent. by reason of other errors. At least one error upon which reissue is based is described below. If the reissue is a broadening

It is respectfully submitted that the application from which the original patent issued failed to claim appraratus without the use of means plus function language and failed to claim certain features of our invention, as now set forth in the present reissue application. It is further respectfully submitted that at least one of the new claims added to the present reissue application are broader in scope with respect to the original patent in that the at least one new claim is not limited under 35 U.S.C. Section 112, 6th paragraph. It is further respectfully submitted that the application from which the original patent issued failed to claim other aspects of applicant's invention, specifically a memory interface apparatus.

reissue, such must be stated with an explanation as to the nature of the broadening:

[Page 1 of 2]

PTO/SB/51 (10-00)

Approved for use through 12/30/2000. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) (REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2) SONY-R7018 All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. Registration Number 28,901 Name(s) CHARLES P. SAMMUT SUSAN M. SCHMITT Correspondence Address: Direct all communications about the application to: Place Customer Number Bar Customer Number Code Label here Type Customer Number here Firm or Individual Name CHARLES P. SAMMUT 350 WOODSIDE AVENUE Address Address 99941-3822 Zip State MILL VALLEY City Country U.S.A. 415-388-7539 Fax 415-383-8341 Telephone I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed. Full name of sole or first inventor (given name, family name) KAZUNORI OZAWA February 9, 2001 Inventor's signature Citizenship JAPAN Residence c/o SONY CORPORATION Mailing Address 6-7-35 KITASHINAGAWA, SHINAGAWA-KU, TOKYO, 141-0001 JAPAN Full name of second joint inventor (given name, family name) NOBUHIRO TONE Inventor's signature Citizenship JAPAN Residence Tokyo, Japan Mailing Address C/O SONY CORPORATION 6-7-35 KITASHINAGAWA, SHINAGAWA-KU, TOKYO, Full name of third joint inventor (given name, family name) MASAHIRO ASAI JANUARY 15, 200 Masahiro asai Date Inventor's signature Citizenship Kanagawa, Japan Residence <u>JAPAN</u> Mailing Address c/o SONY CORPORATION SHINACAWA-KU, TOKYO, 141-0001 JAPAN 7-35 KITASHINACAWA Additional joint inventors are named on separately numbered sheets attached hereto.

Docket No.

203218US6ŘE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazunori OZAWA, et al.

SERIAL NUMBER: 09/767,811

January 23, 2001

FOR:

FILING DATE:

AUDIO TRANSMISSION, RECORDING AND REPRODUCING SYSTEM

FILING OF DECLARATION UNDER 37 CFR 1.53(f)

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated February 13, 2001, and in accordance with the provisions of 37 CFR 1.175, Applicants submit herewith a Reissue Declaration. The required fee for filing the Declaration, basic filing fee, total claims and independent claims are submitted herewith.

The Declaration enclosed herewith, attached to the specification, is believed to adequately identify the above-identified application in accordance with 37 CFR 1.63, as set forth in MPEP Section 601.01.

The undersigned hereby states that the specification to which the Declaration is attached is a true copy of the specification, and any amendments thereto, as originally filed in the Patent Office in order to obtain a filing date.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

ATTN: APPLICATION BRANCH

Gregory J. Maier

Attorney of Record

Registration No. 25,599

Joseph A. Scafetta, Jr. Registration No. 26,803



22850 Tel. (703) 413-3000

Fax. (703) 413-2220 (OSMMN 10/98)